**Annex II to Circular Letter 134/2023-PRE**

**INSTRUMENT OF AGREEMENT WITH NEW NONRESIDENT**

**INVESTOR INCENTIVE PROGRAM**

By the present instrument, [corporate name of the Investor], headquartered at [registered office address], corporate taxpayer number CNPJ [CNPJ code], and CVM Code CVM code], duly represented as described in its bylaws, hereby declares **(i)** that it neither traded in nor held any open interest in the Listed B3 segment for a period exceeding 150 days in the 24 months prior to the start of its link with this Onboarding Incentive Program. If the interested party exceed the deadline of 150 days after the first participation in a trading session to request to join the incentive, it will be able to join the Onboarding Incentive Program at B3 sole discretion, upon the submission of a justification by the investor; **(ii)** that if it trades in the cash equities market, it will comply with the **70%** minimum percentage for day trades on a monthly basis and may choose **up to 40** stocks to comprise this group of products; **(iii)** that if it trades in options on single stocks, it may choose **up to 30** stocks to comprise this group of products; **(iv)** that it is not part of a business group with and has no common management link with Investors that do not comply with item (i); and **(v)** that it is cognizant of New Nonresident Investor Incentive Program Rules, undertakes to comply with all the required procedures and rules, and is aware that noncompliance with these procedures and rules will result in loss of the right to receive the exemption and in the retroactive charge described in item 5 of the Rules.

**Applicant’s Email Address:**

**Access Model**

() Model 1: Investor who trades on B3 through an account related to CMN Resolution 2.687.

() Model 2: Investor who trades on B3 through an account related to CMN Resolution 4.373, whose trading orders are entered from outside of the B3 co-location environment, using proprietary systems, those of the brokerage house or of the provider.

() Model 3: Investor who trades on B3 through an account related to CMN Resolution 4.373, whose trading orders are entered from within the B3 co-location environment, using proprietary systems in their hosting unit(s) contracted directly from B3 or via the brokerage house.

**Account type**

() Individual account

() Collective account member

**Account No**.

[specify as many accounts as needed]

**Select Products to be included in Incentive Program:**

**Interest Rates Group**

() One-Day Interbank Deposit Futures Contract (DI1)

() DI x IPCA Spread Futures (DAP)

() Call and Put Option on Average One-Day Interbank Deposit Rate Index Contract (IDI)

**FX Group**

() US Dollar Futures Contract (DOL)

() Mini US Dollar Futures Contract (WDO)

() Structured US Dollar Rollover Transaction (DR1)

() Structured Mini US Dollar Rollover Transaction (WD1)

() Australian Dollar Futures Contract – USD pairs (AUS)

() Canadian Dollar Futures Contract – USD pairs (CAN)

() New Zealand Dollar Futures Contract – USD pairs (NZL)

() Euro Futures Contract – USD pairs (EUP)

() Swiss Franc Futures Contract – USD pairs (SWI)

() Japanese Yen Futures Contract – USD pairs (JAP)

() Chinese Yuan Offshore Futures Contract – USD pairs (CNH)

() Pound Sterling Futures Contract – USD pairs (GBR)

() Turkish Lira Futures Contract – USD pairs (TUQ)

() Argentine Peso Futures Contract – USD pairs (ARS)

() Chilean Peso Futures Contract – USD pairs (CHL)

() Mexican Peso Futures Contract – USD pairs (MEX)

() South African Rand Futures Contract – USD pairs (AFS)

() Norwegian Krone Futures Contract – USD pairs (NOK)

() Swedish Krona Futures Contract – USD pairs (SEK)

() Russian Ruble Futures Contract – USD pairs (RUB)

**Equities Group**

() Cash Equities (stocks, BDRs, ETFs, units, investment fund shares, subscription warrants, subscription receipts, subscription rights) – choose up to **40** stocks:

() Ibovespa Futures Contract (IND)

() Mini Ibovespa Futures Contract (WIN)

() Call and Put Options on Ibovespa (IBOV)

() Structured Ibovespa Rollover Transaction (IR1)

() Structured Mini Ibovespa Rollover Transaction (WI1)

() Options on Single Stocks – choose **up to 30** stocks:

**Commodities Group**

() Cash Settled Live Cattle Futures Contract (BGI)

() Cash-Settled Corn Futures Contract (CCM)

() 4/5 Arabica Coffee Futures Contract (ICF)

() Cash Settled Hydrous Ethanol Futures Contract (ETH)

[place], [date]

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[Corporate Name and Siganature of the Investor]

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[Corporate Name and signature of the Full Trading Participant (PNP), Settlement Participant (PL) or Trading Participant (PN)]