

# GLOSSARY

UP2DATA

## SUMMARY

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## INTRO

This glossary is a document that represents the terms, codes and definitions applicable to the files from UP2DATA data service provided by B3.

The following list contains definitions for the file OTCTradeInformationConsolidatedFile.

## 1 TERMS AND DEFINITIONS

#	Code	Term PT	Term EN	Description
1	RptDt	DataDoRelatório	ReportDate	Reference date of the information.
2	TckrSymb	Simbolo	TickerSymbol	Ticker that identifies a stock traded on a stock exchange. The Ticker Symbol is a short and convenient way of identifying a stock.
3	ISIN	ISIN	ISIN	International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organization for Standardization (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.
4	MinPric	PreçoMínimo	MinimumPrice	Minimum price.
5	MaxPric	PreçoMáximo	MaximumPrice	Maximum price.
6	TradAvrgPric	PreçoMédio	TradeAveragePrice	Trade average price.
7	LastPric	PreçoDeFechamento	LastPrice	Closing price of the day.
8	AdjstdQt	CotaçãoDeAjuste	AdjustedQuote	Adjusted quote.
9	RefPric	PreçoDeReferência	ReferencePrice	Provides reference price.
10	TradQty	QuantidadeNegócios	TradeQuantity	Trade Quantity.
11	FinInstrmQty	QuantidadeInstrumentosFinanceiros	FinancialInstrumentQuantity	Quantity of financial instruments traded.
12	NtlFinVol	VolumeFinanceiroNacional	NationalFinancialVolume	Financial volume traded on the day (BRL).
13	OprnClssfctnTpNm	NomeTipoClassificaçãoOperação	OperationClassificationTypeName	Description of the Type of Trading Domain: Intragruppo Extragruppo

\***Intragroup** - classification given to businesses in which the economic group of the buyer is the same as the economic group of the seller of the debenture. Trades carried out between fund managers belonging to the same economic group, through the intermediation of the same institution, are considered intra-group, provided that the amount transferred of the debenture is the same at both ends of the deal.

\***Extragroup** - classification given to businesses in which the economic group of the buyer is different from the economic group of the debenture seller.

\***Economic Group** - institution indicated in the record of the buyer/selling institution in the B3 system. For investment funds, the one indicated in the fund manager's registration will be considered as the fund's.

\***Note** - Debenture trades, CRI and CRA, are considered only trades that were completed and settled in the NoMe in T0.

### Change Log:

Version	Item	Description	Purpose	Date
1	-	-	Initial version	October/2019
2	1	Add Fields OperationClassificationTypeName and OperationClassificationTypeCode	Glossary Update	September/2021
3	1	Note inclusion	Inclusion of detailed explanation of data source	December/2021
4	1	Inclusão de observação	Inclusão da explicação detalhada sobre intragrupo e extragrupo	July/2022
5	1	Inclusão do campo NationalFinancialVolume	Resolução 135 da CVM	October/2022