



PERIODICAL SELF-REPORT SUBMISSION MANUAL

Version 1.0

1 SUMÁRIO

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1. INTRODUCTION

This document is intended to provide in detail the new periodical self-report layouts, which are mandatory according to the contracted license, as established in Market Data B3 Consumption Policy.

2. REPORT TYPES

There are four periodical self-report types:

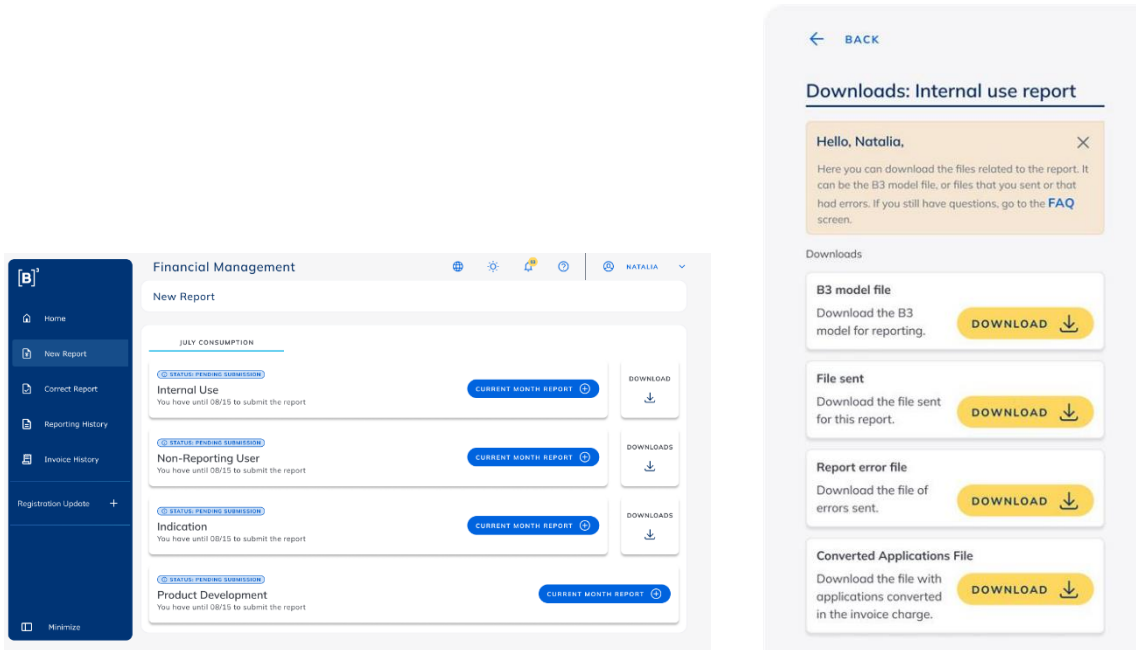
- **Internal use:** this report is mandatory for contractors of Internal Use, Distribution, Trading Platform and Product Development licenses, and aims to inform the consumption of Market Data B3 by the contractor company's own internal Consumption Applications, including its Reporting Group.
- **Non-Reporting Users:** this report is mandatory for contractors of Distribution license and aims to inform the consumption of Market Data B3 by the Consumption Applications of the Distributor's or Redistributor's Non-Reporting Users, including its Reporting Group.
- **Indication:** this report is mandatory for contractors of Distribution license and aims to inform which Redistributors, Reporting Users (institutions that, depending on the intended use, must contract Internal Use, Trading Platform or Product Development License) outside the Co-location B3 and Reporting Users in Co-location B3 on third party infrastructure that are receiving Market Data B3 in real time or delayed via Feedhandler.
- **Product Development:** this report is mandatory for contractors of Product Development License, when applicable, and the Product Developer must provide the necessary information for the purposes of license billing.

The table below lists the B3 Market Data licenses and their respective mandatory reporting types:

MARKET DATA B3 - LICENSES	SELF-REPORTS TYPES			
	INTERNAL USE	NON-REPORTING USER	INDICATION	PRODUCT DEVELOPMENT
DISTRIBUTION	✓	✓	✓	✗
INTERNAL USE	✓	✗	✗	✗
TRADING PLATFORM	✓	✗	✗	✗
PRODUCT DEVELOPMENT	✓	✗	✗	✓

3. REPORT LAYOUTS

Each report type has a specific layout with predetermined fields, as detailed below. You can also find the sample file for each report type in the "New Report > Download" tab within the Market Data B3 Management Portal.



The “Internal Use”, “Non-Reporting User” and “Indication” reports are expected in “.CSV” format, while the “Product Development” report, if applicable, will be made in a portal interface where the customer will inform the calculation parameter for billing.

3.1. HEADER LAYOUT – APPLICABLE TO ALL REPORTS

Header	Required	Format	Description
Consumption Month and Year	Yes	mm/aaaa	Fill in the month and year of Market Data Consumption.
Report Type	Yes	1, for Internal Use 2, for Non-Reporting User 3, for Indication	The reference number of the report which it refers.

3.2. INTERNAL USE LAYOUT

Header – Internal Use	Required	Format	Description
Purpose of Use Code	Yes	The Purpose Use Code can be detailed at Annex 1	Purpose Use Code consolidates the type of consumption application, dataset and order book depth
Application username	Yes	Text. Maximum 250 characters	Name of the individual or legal entity consuming Market Data B3.
Application user identification	Yes	For local customers, use your CPF/CNPJ number. For international customers, use your Individual Taxpayer ID or VAT code.	Document number of the individual or legal entity consuming Market Data B3
Application user country	Yes	Country Code Alpha 2-code ISO 3166	Country where the application that consumes Market Data B3 is located.
Application user E-mail	Yes	Email with valid domain	Email of the User of the application that consumes the Market Data.
Quantity	Yes	Numeric	Total number of different applications that have the same access credential, whether or not they perform simultaneous access.
Reporting group identifier	Yes	Text. Maximum 15 characters	Company identifier for Reporting Group which the reported consumption application is linked. The identifier is defined by the customer when registering its own Reporting Group.
ID/Platform	Yes	Text. Maximum 30 characters	Used to inform login, credentials, or any other information that can be used as a unique source identifier (Platform/ID) of the consumer application used by the user.

3.3. NON-REPORTING USER LAYOUT

Header – Non-Reporting User	Required	Format	Description
Purpose of Use Code	Yes	The Purpose Use Code can be detailed at Annex 1	Purpose Use Code consolidates the type of consumption application, dataset and order book depth
Application username	Yes	Text. Maximum 250 characters	Name of the individual or legal entity consuming Market Data B3.
Application user identification	Yes	For local customers, use your CPF/CNPJ number. For international customers, use your Individual Taxpayer ID or VAT code.	Document number of the individual or legal entity consuming Market Data B3
Application user country	Yes	Country Code Alpha 2-code ISO 3166	Country where the application that consumes Market Data B3 is located.
Application user E-mail	Yes	Email with valid domain	Email of the User of the application that consumes the Market Data.
Quantity	Yes	Numeric	Total number of different applications that have the same access credential, whether or not they perform simultaneous access.
Reporting group identifier	Yes	Text. Maximum 15 characters	Company identifier for Reporting Group which the reported consumption application is linked. The identifier is defined by the customer when registering its own Reporting Group.

Company Name	Conditional*	Text. Maximum 250 characters	Sponsoring company name which provides Market Data access. *This is a mandatory field if a legal entity is the consumer application contractor. Does not apply if the contractor is an individual.
Company Identifier	Conditional*	For national customers, use your CPF/CNPJ. For international customers, use your Individual Taxpayer ID or VAT code.	Document Identification of sponsoring company which provides Market Data access. *This is a mandatory field if a legal entity is the consumer application contractor. Does not apply if the contractor is an individual.
Company Country	Conditional*	Country Code Alpha 2-code ISO 3166	Country Code of the sponsoring company which provides Market Data access. *This is a mandatory field if a legal entity is the consumer application contractor. Does not apply if the contractor is an individual.
ID/Platform	Yes	Text. Maximum 30 characters	Used to inform login, credentials, or any other information that can be used as a unique source identifier (Platform/ID) of the consumer application used by the user.
Affiliated trading participant	No*	Numeric. Maximum 6 characters	Code of affiliated trading participant which the Operations Professional is associated. The codes of trading participants codes can be found in the B3 Trading Participants link *When filling in this field, if the application user is associated with the indicated trading participant, the operations terminal fee will be applied..

3.4. INDICATION LAYOUT

Header – Indication	Required	Format	Description
Indication Code Use	Yes	The Indication Use Code is detailed at Annex 2	The Indication use code consolidates the type of customer and dataset.
Company Name	Yes	Text. Maximum 250 characters	Name of the legal entity consuming the Market Data B3.
Company Identifier	Yes	For national customers, use your CPF/CNPJ. For international customers, use your Individual Taxpayer ID or VAT code.	Document Number of the Company that is consuming the Market Data.
Company Country	Yes	Country Code Alpha 2-code ISO 3166	Country of the Company consuming the Market Data.
Contact Name	Yes	Text. Maximum 250 characters	Fill in the Name of the employee/area who is the company's contact.
Contact e-mail	Yes	Email with valid domain	Fill in the email of the employee/area who is the company's contact.

ANNEX 1

Purpose Use Code Table for “Internal Use” and “Non-Reporting User” reports

CONSUMPTION APPLICATION		DATASET	DEPTH	CODE
Display	Professional Terminal	Cash	Top of Book (L1)	1.01.01.1
			Full Order Book (L2)	1.01.01.2
		Futures	Top of Book (L1)	1.01.02.1
			Full Order Book (L2)	1.01.02.2
		TPF	Full Order Book (L2)	1.01.03.2
	TP	Full Order Book (L2)	1.01.04.2	
	Operations Professional Terminal	Cash	Full Order Book (L2)	1.02.01.2
		Futures	Full Order Book (L2)	1.02.02.2
	Technology Professional Terminal	Cash	Top of Book (L1)	1.03.01.1
			Full Order Book (L2)	1.03.01.2
		Futures	Top of Book (L1)	1.03.02.1
			Full Order Book (L2)	1.03.02.2
		TPF	Full Order Book (L2)	1.03.03.2
	TP	Full Order Book (L2)	1.03.04.2	
	Non-Professional Terminal	Cash	Full Order Book (L2)	1.04.01.2
		Futures	Full Order Book (L2)	1.04.02.2
	Wallboard	Cash	Full Order Book (L2)	1.05.01.2
		Futures		1.05.02.2
		TPF		1.05.03.2
		TP		1.05.04.2
Non-Display	In the Co-location Applications for other Purposes (Non-Trading)	Cash		2.01.01.2
		Futures		2.01.02.2
		TPF		2.01.03.2
		TP		2.01.04.2
	In the Co-location Applications for Trading Purposes	Cash		2.02.01.2
		Futures		2.02.02.2
		TPF	2.02.03.2	
		TP	2.02.04.2	
	In the Co-location Enterprise (includes Trading and Non-Trading Applications)	Cash	2.03.01.2	
		Futures	2.03.02.2	
		TPF	2.03.03.2	
		TP	2.03.04.2	

CONSUMPTION APPLICATION		DATASET	DEPTH	CODE
Non-Display	In the Co-location Enterprise Trading Platforms	Cash	Full Order Book (L2)	2.04.01.2
		Futures		2.04.02.2
		TPF		2.04.03.2
		TP		2.04.04.2
	Out of the Co-location Applications for other Purposes (Non-Trading)	Cash		2.05.01.2
		Futures		2.05.02.2
		TPF		2.05.03.2
		TP		2.05.04.2
	Out of the Co-location Applications for Trading Purposes	Cash		2.06.01.2
		Futures		2.06.02.2
		TPF		2.06.03.2
		TP		2.06.04.2
	Out of the Co-location Enterprise (includes Trading and Non-Trading Applications)	Cash		2.07.01.2
		Futures		2.07.02.2
		TPF		2.07.03.2
		TP		2.07.04.2
Out of the Co-location Enterprise Trading Platforms	Cash	2.08.01.2		
	Futures	2.08.02.2		
	TPF	2.08.03.2		
	TP	2.08.04.2		

ANNEX 2

Indication Code Use for the “Indication” report

Customer	Dataset	Indication Code
Reporting User	Cash	1.01
	Futures	1.02
	TPF	1.03
	TP	1.04
Redistributor	Cash	2.01
	Futures	2.02
	TPF	2.03
	TP	2.04
Reporting User in the co-location within third party infrastructure	n/a	3.01